

From Pixels to Factors: Learning Independently Controllable State Variables for Reinforcement Learning

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Summary

Algorithms that exploit *factored* Markov decision processes are far more sample-efficient than factor-agnostic methods, yet they assume a factored representation is known *a priori*—a requirement that breaks down when the agent sees only high-dimensional observations. Conversely, deep reinforcement learning handles such inputs but cannot benefit from factored structure. We address this representation problem with Action-Controllable Factorization (ACF), a contrastive learning approach that uncovers *independently controllable* latent variables. ACF leverages sparsity: actions typically affect only a subset of variables, while the rest evolve under the environment’s dynamics, yielding informative data for contrastive training. ACF recovers the ground-truth controllable factors directly from pixel observations on three benchmarks with known factored structure—TAXI, FOURROOMS, and MINIGRID-DOORKEY—consistently outperforming baseline disentanglement algorithms. Moreover, we evaluate the quality of our learned representation for planning in TAXI and show empirically that ACF yields world models whose quality approaches that of expert-factored representations, bringing us closer to bridging the gap between deep RL and the factored MDP literature.

Contribution(s)

1. We introduce ACF, a novel contrastive learning algorithm that recovers independently controllable latent factors directly from high-dimensional observations by exploiting the sparsity of action effects.
Context: Prior work on learning factored representations in deep RL (Higgins et al., 2017b; Dunion et al., 2023; Misra et al., 2021) has shown that appropriate disentanglement improves agent performance. However, these methods typically rely on reconstruction or auxiliary supervision. ACF departs from this paradigm: we exploit sparse action effects, but do so through a contrastive objective to separate controllable factors.
2. We present an identifiability proof showing that, under sufficient variability, smoothness, and sparsity of action effects in the learned latent space, the independently controllable factors are recoverable up to factor-wise transformations and permutations.
Context: Our result connects to the identifiability literature in ICA and causal representation learning (Lachapelle et al., 2022; Hyvärinen et al., 2019; Varici et al., 2024), extending it to the setting of independently controllable factors under sparse action effects. Empirically, ACF’s contrastive objective regularizes the representation to encourage the sparsity structure the proof requires.
3. We show empirically that ACF identifies controllable factors directly from pixels in TAXI, FOURROOMS, and MINIGRID-DOORKEY, consistently outperforming baselines. Moreover, we show that world models built on ACF yield planning and prediction performance closer to that of expert-designed representations in TAXI.
Context: Factored MDPs (Boutilier et al., 1995) have long promised more efficient learning and planning by exploiting factored structure, but require that representation to be given. We provide the evidence that learning factors can begin to close this gap: in TAXI, ACF representations bring planning performance closer to that achievable with hand-designed representations.

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Abstract

Algorithms that exploit *factored* Markov decision processes are far more sample-efficient than factor-agnostic methods, yet they assume a factored representation is known *a priori*—a requirement that breaks down when the agent sees only high-dimensional observations. Conversely, deep reinforcement learning handles such inputs but cannot benefit from factored structure. We address this representation problem with Action-Controllable Factorization (ACF), a contrastive learning approach that uncovers *independently controllable* latent variables—state components each action can influence separately. ACF leverages sparsity: actions typically affect only a subset of variables, while the rest evolve under the environment’s dynamics, yielding informative data for contrastive training. ACF recovers the ground-truth controllable factors directly from pixel observations on three benchmarks with known factored structure—TAXI, FOURROOMS, and MINIGRID-DOORKEY—consistently outperforming baseline disentanglement algorithms. Moreover, we evaluate the quality of our learned representation for planning in TAXI and show empirically that ACF yields world models whose quality approaches that of expert-factored representations, bringing us closer to bridging the gap between deep RL and the factored MDP literature.

1 Introduction

Over the last decade, deep reinforcement learning (RL) has enabled agents to learn complex behaviors directly from high-dimensional observations—e.g., pixels in Atari games (Mnih et al., 2015), and continuous control from pixels (Lillicrap et al., 2016; Levine et al., 2016)—without manual feature engineering. However, this flexibility comes at a cost: modern deep RL methods remain strikingly sample-inefficient.

Classical work in factored RL shows that, if the underlying Markov decision process (MDP) can be decomposed into state-variable factors with sparse dependencies, one can achieve exponential gains in both model learning and planning (Boutillier et al., 1995; Guestrin et al., 2003). Indeed, factored variants of PAC-RL algorithms such as factored E^3 (Kearns & Koller, 1999) and Factored RMax (Guestrin et al., 2002; Brafman & Tennenholtz, 2002), provably exploit these structures for faster convergence, and subsequent methods even learn the dependency graph online (Strehl et al., 2007; Diuk et al., 2009). More recently, factored representations have proven useful for world modeling (Wang et al., 2022b; Pitis et al., 2020; 2022), exploration (Wang et al., 2023; Seitzer et al., 2021), and skill discovery (Vigorito & Barto, 2010; Wang et al., 2024; Chuck et al., 2024; 2025). Crucially, all these gains depend on having access to a hand-specified factored representation.

State-of-the-art model-based deep RL approaches avoid simulating trajectories in raw observations by learning latent world models end-to-end (Hafner et al., 2019; Schrittwieser et al., 2020; Hansen et al., 2022; Rodriguez-Sanchez & Konidaris, 2024). Some efforts attempt to induce factorization in these latent spaces (Hansen et al., 2022; Hafner et al., 2025), but they do not offer empirical or theoretical guarantee that any factor is identified. In parallel, unsupervised and self-supervised learning has long studied disentanglement (Bengio et al., 2013; Locatello et al., 2019) as a way to achieve better generalization. Although there is no consensus formalization of disentanglement, two classical approaches are nonlinear ICA (Independent Component Analysis; Comon (1994); Hyvärinen et al. (2023)) and causal representation learning (Schölkopf et al., 2021) yet these methods do not fully ground to decision making and control. For instance, some methods pretrain disentangled representations for RL (Higgins et al., 2017a) based on the assumption that the learned variables will be useful for downstream decision-making. Meanwhile, causal representation learning (Schölkopf et al., 2021) leverages the notion of interventions, related to an RL agent actions, but does not directly address the sequential decision making problem.

We address this representation gap for factored RL by explicitly targeting the recovery of independently controllable variables (Thomas et al., 2018). Our key idea is to use a contrastive objective that compares the predicted next-state distributions under agent actions against those under the environment’s natural dynamics. Thus, we align our latent factors with the underlying state variables that are controlled independently. We validate our approach on pixel-based versions of Taxi (Dietterich, 2000), MiniGrid-DoorKey (Chevalier-Boisvert et al., 2023; Pignatelli et al., 2024), and FourRooms (Sutton et al., 1999), showing that we can automatically recover controllable state variables that align with an expert-designed representation, directly from the pixels.

2 Background

Markov Decision Process We consider an agent that acts in a Markov Decision Process (MDP; Puterman (1994)) $\mathcal{M} = \langle \mathcal{S}, A, T, R, p_0, \gamma \rangle$ with a continuous state space $\mathcal{S} \subseteq \mathbb{R}^{d_s}$ and a discrete action set A . The transition function $T : \mathcal{S} \times A \rightarrow \Delta(\mathcal{S})^1$ models the world’s dynamics, $R : \mathcal{S} \times A \rightarrow \mathbb{R}$ is a reward function, $\gamma \in [0, 1]$ is the discount factor, and $p_0 \in \Delta(\mathcal{S})$ is the initial state distribution.

Factored MDPs (FMDPs; Boutilier & Dearden (1996)) are a particular class of MDPs that have a factorized transition function:

$$T(s' | s, a) = \prod_{i=1}^K T_i(s'_i | \text{pa}(s'_i), a),$$

where the state space is $\mathcal{S} = \mathcal{S}_1 \times \dots \times \mathcal{S}_K$ and each $\mathcal{S}_i \subseteq \mathbb{R}$. Moreover, $\text{pa}(s'_i) : \mathcal{S}_i \rightarrow \mathcal{P}([K])$, where $\mathcal{P}([K])$ is the power set of $[1, 2, \dots, K]$, and it represents the set of factors required to predict s'_i . Typically, this structure is represented by a Dynamic Bayesian Network (DBN; Boutilier et al. (1995; 2000)).

Nonlinear Independent Component Analysis (ICA; Comon (1994)) is the problem of identifying a set of independent signal sources from entangled measurements. Formally, given a set of generating sources $\{s_i \in \mathcal{S}_i\}_{i=1}^K$ that are independent and distributed according to densities $p(s_i)$, ICA identifies the set of generating signals from observed measurements x that are entangled (mixed) by an unknown function o —i.e., $x = o(s_1, \dots, s_K)$. In the case of non-linear ICA, o is a nonlinear, invertible function. In particular, we will consider the problem of non-linear ICA with auxiliary variables (Hyvärinen et al., 2019), where the sources to be identified are *conditionally* independent given an auxiliary variable u . Moreover, we assume that our agent receives high-dimensional observations that are generated by an observation function that is a diffeomorphism² $o : \mathcal{S} \rightarrow X \subseteq \mathbb{R}^{d_x}$, where $d_x \gg d_s$.

¹ $\Delta(X)$ is the set of probability densities over set X .

² A bijection that is continuously differentiable and whose inverse is also continuously differentiable.

3 ACF: Action Controllable Factorization

Imagine a simple desk lamp with two separate switches: one toggles the lamp’s power, flipping it on or off, while the other cycles the bulb’s color between warm and cold light. If you leave both switches untouched, the lamp may still occasionally flicker on or change color on its own, but with a significantly lower probability. By observing the lamp when you flip only the power switch versus doing nothing, you isolate the “on/off” factor; likewise, by pressing only the color switch versus leaving it alone, you isolate the “color” factor. Because each switch only affects one property while the other property evolves naturally, you can disentangle these two characteristics simply by contrasting action-driven changes with natural behavior. The lamp might have other characteristics like volume, weight, and shape; however, these are not factors that can be controlled by the agent. Here we focus on disentangling factors that *are* controllable.

3.1 Problem Formulation

Setting We consider that the agent does not have access to the ground truth factored state space S . Instead, it gets high-dimensional observations that are generated by an unknown diffeomorphism $o : S \rightarrow X \subseteq \mathbb{R}^{d_x}$. Hence, we are concerned with learning from the observed samples of $T(x' | x, a)$ an encoder $f_\phi : X \rightarrow Z$, where Z factorizes as $Z = Z_1 \times \dots \times Z_K$, that *identifies* the underlying factors.

Identification Formally, we say that a learned factorization identifies the underlying factor $\mathcal{S}_i$ if and only if there exist invertible functions h_i and permutation function ρ such that $h_i : Z_i \rightarrow S_{\rho(i)}$ for all $i \in \{1, \dots, K\}$. That is, we can recover the underlying factors up to permutation and invertible transformations.

In many problems, the agent’s actions have sparse effects on the environment: just a few factors are controlled, while others just follow their natural transition, unaffected by the agent. To help the agent understand its environment, we assume that the agent has a *special action* a_0 that corresponds to a *no-op* (or observe) action that allows the agent to observe the natural evolution of the environment without intervening.

Transition Dynamics Let $\Psi : S \times A \rightarrow \mathcal{P}([1, 2, \dots, K])$ be the set of variables affected by action a in state s . We assume the transition dynamics factorize as follows,

$$T(s' | s, a) = \prod_{i \in \Psi(s, a)} T(s'_i | s, a) \prod_{j \notin \Psi(s, a)} T(s'_j | s, a_0); \quad (1)$$

where $T(s'_i | s, a_0)$ represents the natural (or observational) dynamics. Here, we will consider conditioning the transition dynamics on the full current state s , instead of just the parents, given that $T(s'_i | s, a) = T(s'_i | \text{pa}(s'_i), a)$.

Moreover, for the unknown observation function o , a diffeomorphism, we know that the *observed* transition kernel follows (Boothby, 2003):

$$T(x' | x, a) = |\det(J_{o^{-1}}(x')^T J_{o^{-1}}(x'))|^{1/2} T(s' | s, a), \quad (2)$$

This equation relates the observed dynamics $T(x' | x, a)$ to the underlying ground truth state dynamics $T(s' | s, a)$ by the Jacobian matrix $J_{o^{-1}}$, whose determinant quantifies the change in volume caused by the local distortion caused by the transformation o . This relation can be seen as the generalization to higher dimensions of the change of variable formula in probability theory.

3.2 Algorithm

Energy Parameterization We parameterize the encoder by $f_\phi(x) \mapsto z$, with parameters ϕ , and, more importantly, we parameterize the transition function as the sum of energy functions (unnormlized probability densities) such that, $T(z' | z, a) \propto \exp\left(\sum_{i=1}^K E_\theta(z'_i, a, z)\right)$ with $i \in [K]$ and

parameters θ . This sum of energies reflects the factorized structure where each energy represents the transition dynamics of latent variable z_i .

Learning a Markov Representation In order to estimate these energy functions from data and learn a Markov representation suitable for RL (Allen et al., 2021), we optimize the following training objectives. Firstly, we estimate the inverse dynamics I^π using our energy functions, as follows,

$$I^\pi(a | z, z') = \frac{T(z' | z, a)\pi(a | z)}{\sum_{a'} T(z' | z, a')\pi(a' | z)} \propto \frac{\exp(\sum_i E_\theta(z'_i, a, z))\pi(a | z)}{\sum_{a' \in A} \exp(\sum_i E_\theta(z'_i, a', z))\pi(a' | z)}; \quad (3)$$

and because our action set is discrete, we can use a softmax multiclass classifier to learn our inverse function by minimizing the cross entropy loss:

$$\mathcal{L}_{\text{inv}}(\phi, \theta) = -\log I^\pi(a | z, z'). \quad (4)$$

Secondly, we use InfoNCE (Oord et al., 2018) to maximize the mutual information between z and z' : we use a batch B of $N - 1$ negative samples and 1 positive sample, and minimize the following loss,

$$\mathcal{L}_{\text{fwd}}(\phi, \theta) = -\log \frac{\exp(\sum_i E_\theta(z'_i, a, z))}{\sum_{z^j \in B} \exp(\sum_i E_\theta(z^j_i, a, z))}. \quad (5)$$

Optimizing these losses guarantees that we learn a Markov representation that preserves the relevant information for action effects prediction (Allen et al., 2021) without requiring an explicit reconstruction loss. However, they do not ensure that the representation will align with the controllable factors.

To see this, consider an invertible mapping $g : S \rightarrow Z$ between the ground truth state s and another representation z . The relation between the densities is given by the following change of variable formula: $T(s' | s, a) = |\det J_g(s')|T(z' | z, a)$. Therefore, if $|\det J_g(s')| = 1$ (e.g., g is a rotation), the distribution will match even in the case we use a factorized prior³.

Factorizing the Controllable Variables We formalize our intuition and exploit the sparsity of the actions' effects to learn a latent representation Z that identifies the controllable factors.

The core idea is to contrast the effect of an action, the distribution $T(x' | x, a)$, against the natural dynamics $T(x' | x, a_0)$, where a_0 is the no-op action, using the following ratio:

$$\begin{aligned} \log r_a(x', x) &= \log \frac{T(x' | x, a)}{T(x' | x, a_0)} = \log \frac{|\det(J_{o^{-1}}(x')^T J_{o^{-1}}(x'))|^{1/2} \prod_i T(s'_i | s, a)}{|\det(J_{o^{-1}}(x')^T J_{o^{-1}}(x'))|^{1/2} \prod_i T(s'_i | s, a_0)}, \\ &= \log \frac{T(s'_j | s, a)}{T(s'_j | s, a_0)} = \log r_a(s', s), \end{aligned}$$

where s'_j is the factor affected by a when executed in s . Therefore, this ratio is a function of the factor s'_j and not the rest.

In practice, we can estimate these ratios from observed transitions contrastively (Gutmann & Hyvärinen, 2010; Hyvärinen et al., 2019). We leverage our energy parameterization to infer a binary classifier that differentiate between transitions from action a from another, e.g. the null action a_0 .

These classifiers can be computed from the energies using a sigmoid function σ :

$$\sigma(\log r_a(z', z)) := \sigma(\log r_a(f_\phi(x'), f_\phi(x))) = \sigma \left(\sum_i E_\theta(z'_i, a, z) - E_\theta(z'_i, a_0, z) \right).$$

³For an extended discussion, see Locatello et al. (2019) and Hyvärinen et al. (2023)

Algorithm 1 Action Controllable Factorization

Require: Dataset $\mathcal{D} = \{(x, a, x')\}$, encoder f_ϕ , set per-factor energy models $\{E_\theta^k\}_{k=1}^K$, policy π_w , Learning rate α , weights $\beta_r, \beta_{\text{fwd}}, \beta_{\text{inv}}, \beta_\pi$

- 1: **for** minibatch $\{(x^n, a^n, x'^n)\}_{n=1}^N \sim \mathcal{D}$ **do**
- 2: Encode: $z^n \leftarrow f_\phi(x^n)$, $z'^n \leftarrow f_\phi(x'^n)$
- 3: Noise: $z^n \leftarrow z^n + \epsilon^n$, $z'^n \leftarrow z'^n + \epsilon'^n$
- 4: Negatives: $\mathcal{N} = \{(z^i, a^j, z'^j) \mid i, j = 1, \dots, N\}$
- 5: Energies: $E_{ij}(a) = \sum_k E_\theta^k(z_k^j, a, z^i) \forall i, j \in [N], k \in [K], a \in A$
- 6: Policy logits: $\pi_{\text{logits}}^n = \pi_w(z^n) \forall n$
 {The diagonal values are the energy that correspond to real transitions}
- 7: Ratios: $\log r_a^{nn} = E_{nn}(a) - E_{nn}(a_0)$
- 8: Policy weights: $\zeta_a^n = \log \frac{\pi(a_n | z^n)}{\pi(a_0 | z^n)}$
- 9: $\mathcal{L}_r = -\frac{1}{N} \sum_n \sum_a [a^n = a] \log \sigma(\log r_a^{nn} + \text{sg}(\zeta_a^n)) +$
 $[a^n \neq a] \log(1 - \sigma(\log r_a^{nn} + \text{sg}(\zeta_a^n)))$
- 10: $\mathcal{L}_{\text{fwd}} = -\frac{1}{N} \sum_n \log \frac{e^{E_{nn}(a^n)}}{\sum_j e^{E_{nj}(a^n)}}$
- 11: $\mathcal{L}_{\text{inv}} = -\frac{1}{N} \sum_n \log \frac{\text{sg}(\pi(a^n | z^n)) e^{E_{nn}(a^n)}}{\sum_{a'} \text{sg}(\pi(a' | z^n)) e^{E_{nn}(a')}}$
- 12: $\mathcal{L}_\pi = \frac{1}{N} \sum_n -\log \frac{e^{\pi_{\text{logits}}^n[a^n]}}{\sum_{a'} e^{\pi_{\text{logits}}^n[a']}}$
- 13: $\mathcal{L} = \beta_r \mathcal{L}_r + \beta_{\text{fwd}} \mathcal{L}_{\text{fwd}} + \beta_{\text{inv}} \mathcal{L}_{\text{inv}} + \beta_\pi \mathcal{L}_\pi$
- 14: Update: $(\phi, \theta, w) \leftarrow \text{AdamW}((\phi, \theta, w), \alpha, \nabla \mathcal{L})$
- 15: **end for**

Finally, we train our energy functions to match the observed ratios by training $|A| - 1$ classifiers computed by $\sigma(\log r_a(z', z))$. We use the transitions of other actions as negative samples and minimize the following binary cross-entropy loss:

$$\mathcal{L}_r(\theta, \phi) = - \sum_{a' \in A} [a' = a] \log \sigma(\log r_a + \text{sg}(\zeta_a)) + [a' \neq a] \log(1 - \sigma(\log r_a + \text{sg}(\zeta_a))) ; \quad (6)$$

where $[\cdot]$ is indicator functions that is 1 when the condition holds, and $\zeta_a := \log \frac{\pi(a|z)}{\pi(a_0|z)}$ are correction weights to account for the policy used to collect the data. In practice, we estimate the policy from the dataset and use the estimate to compute the loss. Finally, we minimize a weighted sum of these losses and use AdamW as our optimizer (Loshchilov & Hutter, 2019). Algorithm 1 formalizes the approach.

Identifiability The core assumption of ACF is that variables are independently controllable, that is, for every state variable s_i , there exists a context $s \in \mathcal{S}$ and action $a \in A$, where the action effect is sufficiently different from the natural dynamics of the variable (a_0 effect). The following theorem establishes identifiability of independently controllable factors if the solution found is sparse.

Theorem 3.1 (Identifiability of the Independently Controllable Factors). *Let the learned encoder $f : X \rightarrow Z$ be a diffeomorphism. If the following conditions hold*

1. $\mathcal{S} \subset \mathbb{R}^K$ is connected and the unknown observation function $o : \mathcal{S} \rightarrow X$ is a diffeomorphism.
2. The action effects are **sufficiently different** from the natural dynamics. That is, there exists $i \in [K]$

$$\frac{\partial}{\partial s'_i} T_i(s'_i | s, a) \neq 0$$

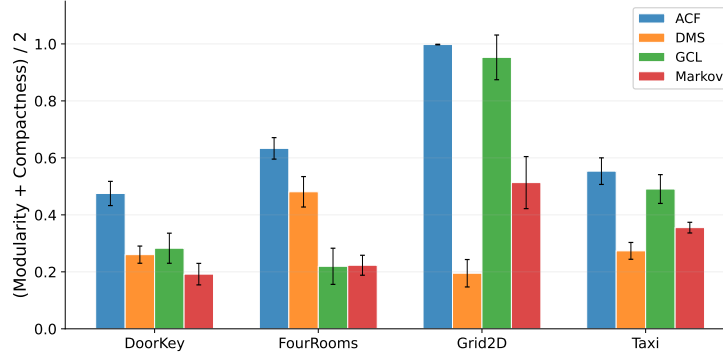


Figure 1: **Disentanglement Metrics:** ACF disentanglement consistently outperforms other three benchmarks, across all four domains (DOORKEY, FOURROOMS, GRID2D, and TAXI). ACF achieves perfect disentanglement at GRID2D — a perfectly disentangled representation with respect to the ground truth would have modularity and compactness metrics close to 1. Further details in Table 8.

for $s \in \tilde{S} \subseteq \mathcal{S}$, almost surely. Moreover, there exists at least an action that affects each s_i (independent controllability)

3. All energy function approximate the factor forward dynamics $E(z'_i, a, z) \propto \log T(z'_i | z, a)$;

4. (**Sparsity**) The score differences (gradients of the energies)

$$\frac{\partial}{\partial z'_i} \Delta E_i^a = \frac{\partial}{\partial z'_i} [E(z'_i, a, z) - E(z'_i, a_0, z)] \neq 0$$

for at most one variable i and all actions.

then, there exists a factor-wise diffeomorphism $h : \mathcal{S} \rightarrow \mathcal{Z}$ between the underlying ground truth factors of variation $\mathcal{S}$ and the learned encoding $\mathcal{Z}$

Similar arguments have been used to establish identifiability under action and state-dependency sparsity (Lachapelle et al., 2022; 2024) and under single-node interventions in causal representation learning (Varici et al., 2024). Theorem 3.1 can be viewed as a special case of these results adapted to the independently controllable factors setting⁴. This result further shows that independently controllable factors can be recovered when, in addition to certain regularity and variability conditions, the solution is sparse (Condition 4). We conjecture that the binary classifiers arising from $\mathcal{L}_r$ (see Equation 6) promote sparsity by competing to capture what makes each action distinct. Because $\mathcal{L}_r$ uses transitions from other actions as hard negatives, each classifier is pushed to rely on a different latent dimension—the one specifically influenced by its action—since sharing dimensions with another classifier would reduce discriminability.

4 Evaluation

In this section, we empirically evaluate ACF in RL test domains directly from pixel observations. We consider the visual variation of the classical Taxi domain (Dietterich, 2000) and visual Minigrid environments (Chevalier-Boisvert et al., 2023): FourRooms (Sutton et al., 1999) and DoorKey⁵. We chose these domains because they allow easy access to the generating factors for evaluation and while these domains are simple from the perspective of learning a policy, they actually are challenging from the factorization problem perspective, as we will see in the quantitative results.

⁴The proof is provided in Appendix A

⁵We use Minigrid JAX (Bradbury et al., 2018) re-implementation (Pignatelli et al., 2024)

Baselines We consider GCL (Generalized Contrastive Learning; Hyvärinen et al. (2019)) that can be seen as a vanilla contrastive-based disentanglement algorithm, and DMS (Disentanglement via Mechanism Sparsity; Lachapelle et al. (2022)), a VAE-based (Kingma & Welling, 2014) method that explicitly maximizes sparsity in state dependencies and action effects to drive disentanglement. Moreover, we consider MSA (Markov State Abstractions; Allen et al. (2021)), a contrastive-based algorithm that leverages both forward and inverse dynamics to learn Markovian representations but does not explicitly optimize for disentanglement.

Table 1: **Factorization Metrics:** ACF mean diagonal value is closer to 1—the ideal factorization—than that of all three methods across all four domains. A mean diagonal value of 1 and a maximum off-diagonal value (mean $\pm$ 95% CI across 5 seeds) to 0 indicates perfect factorization.

Method	Metric	Grid2D	FourRooms	Taxi	DoorKey
ACF	Diag $\uparrow$	0.996$\pm$0.000	0.792$\pm$0.090	0.635$\pm$0.091	0.494$\pm$0.042
	MeanOffDiag $\downarrow$	0.000$\pm$0.000	0.003 $\pm$ 0.002	0.024 $\pm$ 0.009	0.032 $\pm$ 0.007
	MaxOffDiag $\downarrow$	0.000$\pm$0.000	0.014 $\pm$ 0.013	0.348 $\pm$ 0.136	0.709 $\pm$ 0.149
GCL	Diag $\uparrow$	0.982 $\pm$ 0.024	0.151 $\pm$ 0.093	0.532 $\pm$ 0.061	0.402 $\pm$ 0.057
	MeanOffDiag $\downarrow$	0.001 $\pm$ 0.002	0.016 $\pm$ 0.012	0.038 $\pm$ 0.009	0.037 $\pm$ 0.014
	MaxOffDiag $\downarrow$	0.002 $\pm$ 0.003	0.083 $\pm$ 0.057	0.526 $\pm$ 0.082	0.457 $\pm$ 0.077
DMS	Diag $\uparrow$	0.632 $\pm$ 0.096	0.000 $\pm$ 0.000	0.215 $\pm$ 0.046	0.220 $\pm$ 0.027
	MeanOffDiag $\downarrow$	0.049 $\pm$ 0.056	0.000$\pm$0.000	0.019 $\pm$ 0.007	0.039 $\pm$ 0.009
	MaxOffDiag $\downarrow$	0.072 $\pm$ 0.087	0.000$\pm$0.000	0.221$\pm$0.068	0.517 $\pm$ 0.096
Markov	Diag $\uparrow$	0.521 $\pm$ 0.016	0.081 $\pm$ 0.119	0.281 $\pm$ 0.062	0.128 $\pm$ 0.103
	MeanOffDiag $\downarrow$	0.464 $\pm$ 0.016	0.013 $\pm$ 0.019	0.016$\pm$0.007	0.015$\pm$0.016
	MaxOffDiag $\downarrow$	0.874 $\pm$ 0.026	0.061 $\pm$ 0.092	0.313 $\pm$ 0.140	0.310$\pm$0.302

Evaluation Protocol To measure disentanglement, we consider test datasets of pairs $\{(s^i, z^i)\}_i$ where s is the ground truth representation and z is the corresponding learned latent representation. We fit factor-wise regressors (parameterized by feed-forward networks) $h_{ij} : z_i \mapsto s_j$, and measure each regressor’s quality via the coefficient of determination R^2 , which captures how much information z_i contains about s_j . For each method, this yields an R^2 matrix that would have 1s on the diagonal and low off-diagonal values if the ground truth variables were perfectly identified. We tune all methods via random search in their respective hyperparameter space and train 5 seeds for each method (see Appendix B).

Table 1 shows the mean diagonal value, the mean off-diagonal, and the maximum off-diagonal value; the former should ideally be 1 when factorization is perfect, and the last two should be close to 0. The values have been corrected to remove the natural correlations of the data⁶. Because ground-truth factors may be naturally correlated (passenger position is correlated with taxi position when the passenger is riding), we correct for these baselines:

$$R_{\text{corr},ij}^2 = \frac{\max(R_{ij}^2 - b_j, 0)}{1 - b_j}, \quad (7)$$

where b_j is the R^2 achievable by predicting factor j from all other ground-truth factors.

However, these R^2 matrices are susceptible to spurious correlations induced by the dynamics and data-collection policies. To address this, we also compute an ablation-based metric: we fit a non-linear predictor (MLP) to map latent vectors z to ground truth vectors s , then ablate each latent variable z_i (replacing it with its marginal mean) and measure the resulting drop in R^2 for each ground truth factor s_j . If z_i is the sole encoding of some factor s_j , the predictor’s performance on s_j should degrade significantly. This yields a matrix of marginal contributions, capturing how much each latent variable z_i uniquely contributes to predicting each ground truth factor s_j .

⁶ Table 9 shows the raw scores in Appendix B.

Given these matrices, we use the Hungarian algorithm (Kuhn, 1955) to find the permutation that maximizes the diagonal, yielding the best alignment between learned variables and ground truth factors. Following prior disentanglement work, we measure two complementary metrics from these matrices: *compactness*—how few ground truth factors are encoded in each latent—and *modularity*—how few latents encode each ground truth factor—(Hsu et al., 2024a; Eastwood et al., 2023)⁷. In Figure 1, we report the mean of the modularity and compactness scores—similar to DCI (Eastwood et al., 2023). This provides a complementary view to Table 1.

We highlight three key observations from these results:

- **The factor affected by an action depends on the current state s .** Grid2D and FourRooms have different factorizations: In Grid2D the agent can move up, down, left and right and 2 dimensions are enough as controllable factors, but in FourRooms (Minigrid variant) the agent can rotate, move forward, backward, left or right and, hence, 3 factors are required. More importantly, the factor an action affects is *relative to the agent’s orientation* and this change causes difficulties for all baseline methods. In particular, DMS, which assumes a global sparse graph, struggles to converge.
- **Factors are not independent** In the Taxi domain, factorization is more challenging because the taxi’s position and the passenger’s location are inherently coupled; the passenger can move only if it moves with the taxi. Our method outperforms the baselines in this case. Figure 2 shows qualitatively the effect of traversing the identified passengers position variables.
- **Identifying non-controllable variables** In the DoorKey domain, not all factors are controllable by the agent: the door’s position is sampled at the start of each episode and kept fixed throughout the episode. Although the agent must perceive the door’s location to open it, that factor need not be disentangled. DMS can partially identify these variables because it’s not constrained to controllable elements and uses the sparsity of the state dependencies.

Ablation We performed an ablation study in the Minigrid-Doorkey domain, the most challenging environment considered in the previous experiments. Table 2 shows that each loss term plays an important role in improving factorization. In addition, we evaluate the *Factored Markov* variant, which combines our unified factored energy parameterization with the MSA losses (forward and inverse). This variant achieves improved factorization compared to the original MSA, highlighting the importance of our proposed parameterization.

Experiment	Diag (Mean $\pm$ 95% CI) $\uparrow$	MaxOff-Diag (Mean $\pm$ 95% CI) $\downarrow$
ACF (Full)	0.5650 $\pm$ 0.0423	0.2499 $\pm$ 0.0213
Factored Markov	0.4861 $\pm$ 0.0491	0.2635 $\pm$ 0.0339
No Forward	0.1987 $\pm$ 0.0588	0.1028 $\pm$ 0.0340
No Inverse	0.5294 $\pm$ 0.0274	0.1918 $\pm$ 0.0280
No Policy	0.4630 $\pm$ 0.0694	0.2301 $\pm$ 0.0543
No Ratio	0.5083 $\pm$ 0.0767	0.2353 $\pm$ 0.0352

Table 2: Ablation on Minigrid-Doorkey Environment over 5 seeds.

4.1 Planning with Learned Factors

To measure the quality of the learned representations for decision making, we train Gaussian predictive models on top of the learned representations in the Taxi domain. We first train the encoder on data covering the state-action space and then freeze it before training the transition and reward models. We consider two model variants: a *vanilla* model that does not assume the representation to be factored, and a *factored* model that learns the DBN structure using the ENCO discovery algorithm (Lippe et al., 2022a). The latter learns independent predictors for each factor and uses the

⁷These metrics were originally defined in terms of mutual information. Here, we use the performance of a non-linear predictor as a proxy for mutual information.

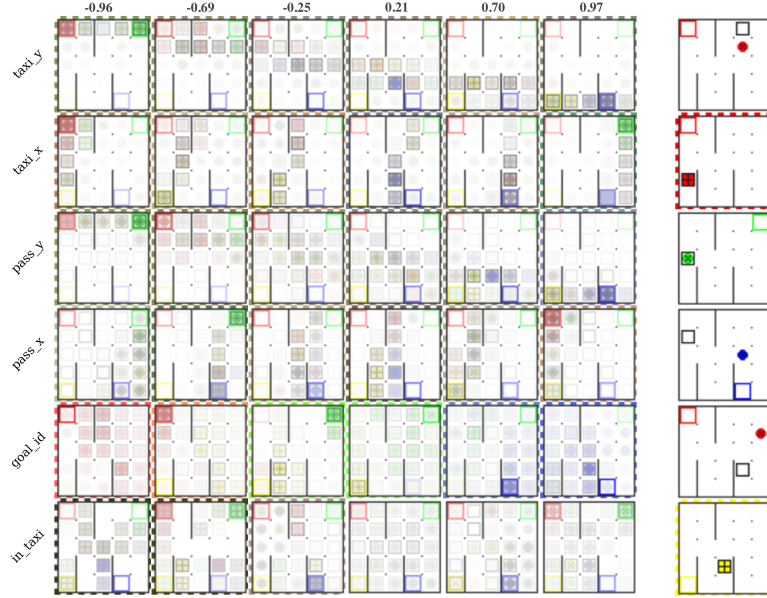


Figure 2: **TAXI Latent Traversals.** In this TAXI rendering, the taxi is represented by a hollow square, the passengers are circles with colors matching their goal positions. When a passenger is in the taxi, the border of the frame is highlighted with stripes. By varying the value of a latent variable (columns), we can see its effect on the mean observation. Each row represents different latent variables.

DBN to mask out unnecessary dependencies, effectively learning a factored transition model. We tune model-learning hyperparameters by randomly sampling the hyperparameter space and training over 5 seeds. We evaluate these models along two dimensions: (1) prediction error, measured by the mean squared error normalized by the variance (NMSE), and (2) planning performance.

To plan, we use two simple planning methods: random shooting (RS; Rao (2009); Nagabandi et al. (2018)) and the cross-entropy method (CEM; Busoniu et al. (2017)). We evaluate 20 episodes for all models across different planning horizons (Figure 3). Figure 3a shows the best-performing horizon averaged over 5 seeds and 20 episodes with a 95% CI. The expert representation—the one used in the identifiability experiments—achieves the highest performance, and ACF consistently achieves the second highest. The closer a learned representation is to the expert, the better the resulting model; this holds across both planning methods. Perhaps more interesting is the fact that ENCO performs significantly better on ACF than on the baseline methods—i.e., a method that relies on having access to a factored representation works significantly better with ACF, which obtains a factorization closer to the expert.

Finally, a similar pattern holds when evaluating prediction quality (Figure 3b): the expert achieves the lowest NMSE, while ACF achieves the third lowest, just above GCL. However, GCL’s lower planning performance relative to ACF suggests that it may lose task-relevant information despite having less compounding prediction error.

5 Related Works

Factored RL There is a long history of leveraging the structure of FMDPs for efficient planning algorithms. By assuming that the structure of the MDP is known (i.e., the DBN), these algorithms exponentially reduce the size of the problem representation. Such algorithms include Structured Value Iteration algorithms (Boutillier & Dearden, 1996; Boutillier et al., 2000) and Structured Policy Iteration (Boutillier et al., 1995; Koller & Parr, 2000), and their extensions to linear approximation

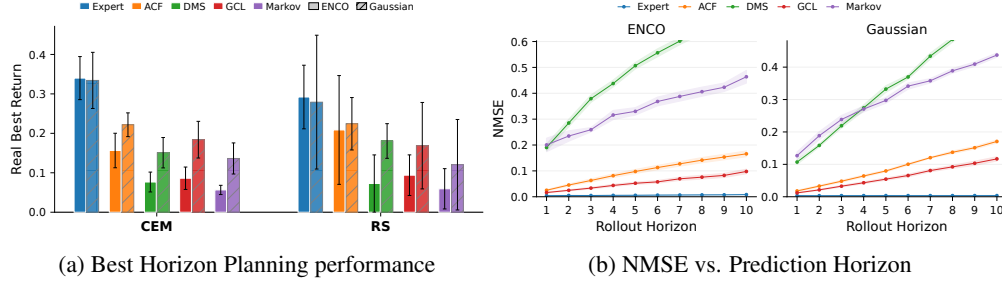


Figure 3: **Representation Quality:** We measure the quality of our learned representations on their capacity to build useful world models. On the left, we show the best performance achieved by the models using CEM and RS. On the right, we show the accumulation of prediction over horizon. Both plots show that ACF’s structure enables models that are better for planning in Taxi.

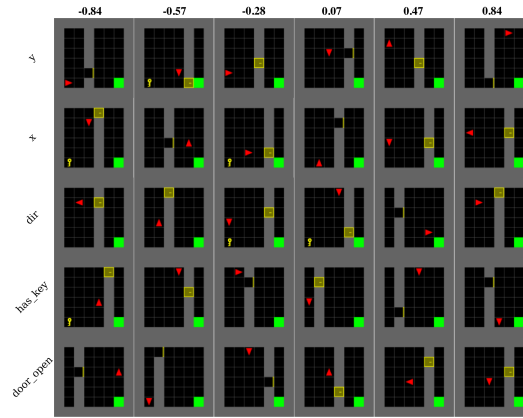


Figure 4: **DOORKEY Latent Traversals.** For this domain, we show a random sample from observations that have a particular value of the latent dimension. We only show the controllable elements in DOORKEY, that includes the agent position and orientation, the key and the door state. Different rows correspond to different latent variables and different columns represent different values for the corresponding latent variable.

(Guestrin et al., 2003). In classical PAC model-based RL algorithms, the Factored E^3 algorithm (Kearns & Koller, 1999) extends the E^3 algorithm (Kearns & Singh, 2002) to the case where the DBN is known and an oracle factored planner is available. Guestrin et al. instantiate this algorithm and RMax (Brafman & Tennenholtz, 2002) using factored linear value iteration (Guestrin et al., 2002) as the planner. Algorithms such as SLF-RMax (Strehl et al., 2007), Met-RMax (Diuk et al., 2009) and SPITI (Degris et al., 2006) loosen the assumption of a known DBN structure and discover this structure online for discrete state spaces. Vigorito & Barto (2009) extends structure learning to continuous state and action spaces. Further theoretical work includes regret bounds for factored RL in the episodic (Osband & Van Roy, 2014; Tian et al., 2020) and non-episodic settings (Xu & Tewari, 2020).

The discovery of the structure among the factored state variables has been used to do exploration (Seitzer et al., 2021; Wang et al., 2023). Closely related, in skill discovery, the factored structure can be exploited to generate signals that facilitate learning useful skills (Vigorito & Barto, 2010; Hu et al., 2024; Wang et al., 2024; Chuck et al., 2024; 2025). Moreover, leveraging the sparsity of edges in the DBN enables algorithms to learn modular world models that are robust (Wang et al., 2022b; Ke et al., 2021). Counterfactual Data Augmentation (CODA) (Pitis et al., 2020; 2022) uses the *local* DBN structure to generate plausible transitions by recombining states based on conditional independence relations that hold locally—i.e., leverages local DBNs to have a nonparametric transition

model. However, all of these require knowing the factored representation a priori for these methods to work.

Limited attempts exist to learn factorized representations in deep RL from high-dimensional observations. DARLA (Higgins et al., 2017b) leverages β -VAE representations for zero-shot generalization in multitask RL. Some works that try to learn factored world models include variational causal dynamics models (Lei et al., 2022) and provable factored RL (Misra et al., 2021). DenoisedMDP (Wang et al., 2022a; Liu et al., 2023) factorizes the state in four factors based on their relevance to reward and controllability. TED (Temporal Disentanglement; Dunion et al. (2023)) uses NCE from state transition samples to improve model-free agent robustness to correlated, irrelevant features, and CMID (Conditional Mutual Information for Disentanglement; Dunion et al. (2024)) uses causal, graphical conditions to infer the state factor from pixels. Perhaps, most similar to ours, is the work of Thomas et al. (2018) that proposes to learn independently controllable elements by learning policies that minimize the number of variables changed. However, they obtain limited success in fully aligning a 2D grid learned representation with the ground truth.

Controllability-based Representations for RL There is an extensive literature that focuses on the problem of reconstruction-free representations (Gelada et al., 2019; Zhang et al., 2020; Nguyen et al., 2021; Lee et al., 2020) and abstractions for RL. Moving away from reconstruction objectives allows more robust performance to temporally-correlated noise (Zhang et al., 2020; Wang et al., 2022a; Rudolph et al., 2024; Ortiz et al., 2024). Importantly, and related to our approach, controllability has been proven useful to learn deep representations that are sufficient for RL agents. Learning inverse models (Lamb et al., 2023; Allen et al., 2021; Yi et al., 2023; Rudolph et al., 2024) has proven useful to learn representations that capture the controllable components of the state. However, because inverse models are insufficient (Lamb et al., 2023; Allen et al., 2021), they have been complemented by multi-step inverse models (Lamb et al., 2023) and forward models (Allen et al., 2021). Factorizing controllable variables has been explored in the past by DenoisedMDP (Wang et al., 2022a) which assumes known block factorization to separate variables across two axes (controllable/uncontrollable and reward relevant/irrelevant). Follow-up work proposes an identifiable approach to the DenoisedMDP idea (Liu et al., 2023). However, their approach does not encourage the disentanglement within the blocks. ACF, though it only focuses on the controllable variables, is designed to factorize to a finer granularity.

Disentanglement in Representation Learning In representation learning (Bengio et al., 2013), disentanglement has been extensively studied (Schmidhuber, 1992; Higgins et al., 2017a; Burgess et al., 2018; Chen et al., 2018; Klindt et al., 2020) as a desirable characteristic for generalizable representations. However, a widely accepted definition of disentanglement does not yet exist and solving this problem without the right inductive biases is impossible (Locatello et al., 2019). Unsupervised approaches leverage the Variational Autoencoder (VAE; Kingma & Welling (2014)) to learn latent representations that have a factorized prior (Kim & Mnih, 2018), minimize total correlation (Chen et al., 2018), and leverage temporal relations (Klindt et al., 2020). An important formalization of disentanglement is Independent Component Analysis (ICA; Comon (1994)). In particular, non-linear ICA (Hyvärinen et al., 2023), where a set of source variables is entangled by an unknown non-linear function. Approaches in non-linear ICA include contrastive methods (Hyvärinen et al., 2019; Hyvärinen & Morioka, 2016), energy functions (Khemakhem et al., 2020b), quantized methods (Hsu et al., 2024a;b), VAEs (Khemakhem et al., 2020a; Klindt et al., 2020) and sparse graphical conditions such as DMS (Disentanglement via Mechanism Sparsity; Lachapelle et al. (2022)). Another approach is causal representation learning (Schölkopf et al., 2021). This tackles the problem of discovering the *causal* variables by leveraging data coming from interventional and observational distributions. In this problem, the variables are not assumed to be independent as in ICA. Simple methods assume having access to which variable was intervened on (Lippe et al., 2022b; 2023b; Locatello et al., 2020) and assume binary interventions (Lippe et al., 2023a). Recent works establish identifiability results for linear mixing models (Squires et al., 2023), non-linear mixing (Ahuja et al., 2023; Buchholz et al., 2023; Zhang et al., 2023) and non-parametric identifiability in the case of unknown interventions (i.e., without labels of the intervened variable) (von Kügelgen et al.,

2024; Varici et al., 2024). ACF can be interpreted as a special case where the agent’s actions induce interventional distributions and the natural dynamics are simply the observational distributions.

6 Discussion and Limitations

ACF takes a step toward closing the factored-representation gap: the longstanding challenge of identifying independently controllable latent variables directly from high-dimensional observations. A key strength of ACF is that it does not assume intervention masks (Lippe et al., 2022b; 2023b; Locatello et al., 2020), one-to-one mappings between actions and state variables (Lippe et al., 2023a), or determinism or static-world dynamics (Thomas et al., 2018). Instead, ACF leverages actions as soft interventions and allows the effect of an action to depend on context, improving over prior disentanglement and controllable-state approaches. The simplicity of our evaluation domains is intentional: these environments provide accessible ground-truth factors, allowing us to directly evaluate whether the learned latent space matches the factored structure.

At the same time, important limitations remain. ACF assumes that the immediate effect of an action can be observed; thus long-term, delayed action effects still pose a challenge. Similarly, while nothing in the formulation requires discrete actions, continuous-control environments often exhibit precisely such delayed effects, and handling them requires additional considerations that we left for future work, as they deserve their own separate treatment. Finally, ACF assumes that the controllable factors are present in the observations; partial observability, occlusions, and nuisance variation require additional assumptions that are orthogonal to the identifiability question we study here.

These limitations outline clear directions for future work: integrating temporally extended actions or skills, extending ACF to partial observability, and incorporating the method into world-model or model-based RL frameworks where identified controllable variables can directly contribute to improved generalization and sample efficiency.

7 Conclusion

We introduced a new contrastive algorithm for learning a factored representation that recovers the independently controllable variables from high-dimensional observations. We use the fact that RL agents can act upon their environments and create discrepancies in the dynamics; contrasting those controlled dynamics to the natural order of things provides a signal for disentanglement that is relevant for factored RL. Moreover, we showed empirically that our method is able to recover the relevant controllable factors.

ACF shows that agent intervention and control over its environment is an important direction to achieve disentanglement. The converse is also relevant; a drive to discover the world structure should be explored as a new intrinsic signal for agent exploration, learning and skill discovery: *the agent needs to actively learn complex behavior and experiment to discover the structure of its environment.*

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A Theoretical Results

Definition A.1 (Identifiability). An encoder $f : X \rightarrow Z$ identifies $\mathcal{S}$ if there exists a permutation π and functions $h_i : \mathcal{S}_i \rightarrow Z_{\pi(i)}$ that are diffeomorphisms such that $z_{\pi(i)} = h_i(s_i)$. That is, each ground truth factor is recovered by a learned latent up to a permutation.

Lemma A.2 (Local Identifiability of the Independently Controllable Factors). *Let the learned encoder $f : X \rightarrow Z$ be a diffeomorphism. If the following conditions hold*

1. *The action effects are **sufficiently different** from the natural dynamics. That is, there exists $i \in [K]$*

$$\frac{\partial}{\partial s'_i} \frac{T_i(s'_i | s, a)}{T(s'_i | s, a_0)} \neq 0$$

for $s \in \tilde{S} \subseteq \mathcal{S}$, almost surely. Moreover, there exists at least an action that affects each s_i (independently controllability)

2. *All energy functions approximate the factor forward dynamics $E(z'_i, a, z) \propto \log T(z'_i | z, a)$;*
3. **(Sparsity)** *The learned score differences*

$$\frac{\partial}{\partial z'_i} \Delta E_i^a = \frac{\partial}{\partial z'_i} [E(z'_i, a, z) - E(z'_i, a_0, z)] \neq 0$$

for at most one variable i .

then, there exists a factor-wise diffeomorphism $h : \mathcal{S} \rightarrow Z$ between the underlying ground truth factors of variation $\mathcal{S}$ and the learned encoding Z .

Proof. Let h be a diffeomorphism between $\mathcal{S}$ and Z . Given that the ground truth observation function is a diffeomorphism $o : \mathcal{S} \rightarrow X$ and, by assumption, the learned encoding is also a diffeomorphism. We can see that $h(s) = f(o(s))$. We need to prove that there exists a permutation $\pi : [K] \rightarrow [K]$ such that the permuted Jacobian of h , $P_\pi J_h$, is a diagonal matrix.

Given that h is a diffeomorphism, J_h exists.

Moreover, for each binary classifier, we know that at an optimum they converge to:

$$\log \frac{T(s' | s, a_n)}{T(s' | s, a_0)} = \sum_{l=1}^K E(z'_l, a_n, z) - E(z'_l, a_0, z) + C(z, a_n) \quad \forall a_n \in A \setminus \{a_0\}; \quad (8)$$

where $C(z, a)$ is a constant resulting from the normalization constants that are not estimated in ACF. By taking the gradient with respect to s' using the chain rule, we get that

$$\nabla_{s'} \log \frac{T(s' | s, a_n)}{T(s' | s, a_0)} = \sum_{l=1}^K J_h^T(s') \nabla_{z'} [E(z'_l, a_n, z) - E(z'_l, a_0, z)] \quad \forall a_n \in A \setminus \{a_0\} \quad (9)$$

Let $\rho : A \rightarrow [K]$ map each action to its affected factor. Hence, by considering our sparse interaction model in [Equation 1](#) we get that each classifier is a function of the variables affected by a_n . That is,

$$\begin{aligned} \nabla_{s'} \log \frac{T(s'_{\rho(a_n)} | s, a_n)}{T(s'_{\rho(a_n)} | s, a_0)} &= \sum_{l=1}^K J_h^T(s') \nabla_{z'} [E(z'_l, a_n, z) - E(z'_l, a_0, z)] \quad \forall a_n \in A \setminus \{a_0\} \quad (10) \\ &= J_h^T \begin{bmatrix} \frac{\partial}{\partial z'_1} (E(z'_1, a_n, z) - E(z'_1, a_0, z)) \\ \vdots \\ \frac{\partial}{\partial z'_K} (E(z'_K, a_n, z) - E(z'_K, a_0, z)) \end{bmatrix} \quad (11) \end{aligned}$$

Moreover, consider a set of the actions $\bar{\mathcal{A}} \subseteq \mathcal{A} \setminus \{a_0\}$ such that each action affects one of the ground truth variables, that is, they are independently controllable.

We can write the above conditions in matrix form by stacking the gradients of all the actions in $\bar{\mathcal{A}}$.

Let $\Delta S(z' | z)$ be the matrices of learned score differences

$$[\Delta S(z' | z)]_{l,n} = \frac{\partial}{\partial z'_l} [E(z'_l, a_n, z) - E(z'_l, a_0, z)]; \quad (12)$$

and $\Delta S(s' | s)$ be the matrices of score differences in s' .

$$[\Delta S(s' | s)]_{i,n} = \begin{cases} \frac{\partial}{\partial s'_i} \log \frac{T(s'_i | s, a_n)}{T(s'_i | s, a_0)} & \text{if } i = \rho(a_n) \\ 0 & \text{otherwise} \end{cases} \quad (13)$$

Hence, we can rewrite Equation 9 as

$$\Delta S(s' | s) = J_h^T(s') \Delta S(z' | z). \quad (14)$$

There exists s such that all columns of $\Delta S(s' | s)$ have only one element different from zero and it is full rank because each factor is affected by at least one action. Moreover, given $J_h(s')$ is full rank because is a diffeomorphism and $\Delta S(z' | z)$ must also have exactly one element different from zero (sparsity condition).

Thus, $J_h(s')$ must have only one element different from zero per row. To see this, consider the j th column of $\Delta S(z' | z)_j = \beta e_r$ where $\beta \in \mathbb{R}$ and r is the row different from zero. Hence,

$$\begin{aligned} \Delta S(s' | s)_j &= J_h^T(s') \Delta S(z' | z)_j; \\ &= \beta J_h^T(s') e_r; \\ &= \beta J_h^T(s')_{:,r}; \end{aligned}$$

and, therefore, $J_h(s')_{:,r}$, the r th column of the Jacobian, must have one element different from zero. Therefore, $J_h(s')$ must be 1-sparse.

Finally, there exists a permutation $P(s')$ such that $J_h(s') = P(s')D(s')$ where $D(s')$ is a diagonal matrix. That is, there exists h that is a factorwise transformation of s up to a permutation.

□

This lemma shows that there exists a permutation in s where actions can have independent control over the factors. However, this does not guarantee the encoding is consistent because the permutation could be different in other parts of the space.

The following proposition establishes some conditions that guarantee identifiability globally.

Proposition A.3 (Global Identifiability of Independently Controllable Factors). *Let the local conditions for identifiability hold. Moreover, assume that $\mathcal{S} \subset \mathbb{R}^K$ is connected. Then there exists a unique permutation π for all $s \in \mathcal{S}$.*

Proof. Let $s_0 \in \mathcal{S}$ be a fix point. We know that the Jacobian $J_h(s_0) = P_\pi(s_0)D(s_0)$ because of local identifiability. Let π_{s_0} be the permutation corresponding to the matrix $P_\pi(s_0)$.

Moreover, because h is a diffeomorphism, we have that each derivative $h'_i(s)$ is continuous and non-vanishing.

Therefore, there exists a neighborhood U such that $h'_{\pi_{s_0}(i)}(s_{\pi_{s_0}(i)}) \neq 0$ for all $s \in U$.

Because of continuity of J_h , we must have that per each row it's nonzero element remains so and, similarly, for the zero elements of the row. Therefore, the permutation $\pi_{s_0} = \pi_s$ for all $s \in U$. This makes the permutation locally constant.

Finally, because there's a finite discrete number of permutations and $\mathcal{S}$ is connected, it implies that $\pi_s = \pi$ globally constant in $\mathcal{S}$.

□

B Extended Empirical Results

Anonymized code at https://anonymous.4open.science/r/factored_rl-EE0A.

B.1 Network Architectures

All networks were implemented using JAX (Bradbury et al., 2018) and Flax NNX (Heek et al., 2024).

Table 3: All methods use the same Residual Convolutional architecture for encoder (and decoder, when required). All MLPs use SiLU activations. Latent encodings are Tanh to keep between $(-1, 1)$ except for DMS.

Component	DoorKey(8x8)	Taxi	Grid2D	FourRooms
latent_dim (d)	7	6	2	5
n_actions n_a	10	6	5	10
ACF Energy[$\times d$]	$(d + n_a) \rightarrow 256 \rightarrow n_a$			
ACF Inverse	$2d \rightarrow 128 \rightarrow n_a$			
ACF Policy	$d \rightarrow 256 \rightarrow 256 \rightarrow n_a$			
GCL Energy[$\times d$]	$(d + n_a) \rightarrow 128 \rightarrow 128 \rightarrow n_a$			
DMS Transition[$\times d$]	$(d + n_a) \rightarrow 256 \rightarrow 1$			
Markov Inverse	$2d \rightarrow 128 \rightarrow n_a$			
Markov Ratio	$2d \rightarrow 128 \rightarrow 1$			

Pixel-level Encoder & Decoder.

We parameterize the residual blocks by doubling the depth of the output feature map until reaching a minimum resolution (`min_res`) (4 for all our experiments) starting from a minimum depth (24 for all our experiments). This is similar to the residual CNN used in Hafner et al. (2025). Table 3 show the details of the MLPs used.

- **Residual Encoder:**

- Positional Embeddings (x, y channels).
- Cascade of downsampling ResidualBlocks: stride-2 3×3 convolution $\rightarrow$ RMSNorm $\rightarrow$ SiLU, plus two 1×1 conv residual layers.
- Flatten $\rightarrow$ 2-layer MLP ($256 \rightarrow 256$, SiLU, then Tanh) $\rightarrow$ latent_dim(d)

- **Residual Decoder:**

- MLP up-projection from latent_dim $\rightarrow$ min_res $\times$ min_res $\times$ D .
- Stack of transposed ResidualBlocks (stride-2 conv^T, RMSNorm, SiLU, ...)
- Central crop to $32 \times 32 \rightarrow$ Tanh activation.

- **MLPs** All MLPs have SiLU activations (Hendrycks & Gimpel, 2016).

B.2 Domains

In all domains we collected data by ensuring coverage of the state-action space. **Grid2D**

Actions No-op, Up, Down, Left, Right;

State Space Continuous 2D space

Observations $32 \times 32 \times 3$ pixel rendering.

Taxi implementation in JAX.

Actions No-op, Up, Down, Left, Right;

State Space 5×5 , 1 passenger, 4 different goals positions;

Observations 32×32 RGB rendering.

Minigrid-FourRooms & Minigrid-DoorKey(8x8)

Actions No-op, Rotate clockwise, Rotate counterclockwise, Forward, Backward, Right, Left, Pickup, Open, Done;

State Space 16×16 grid (position) and orientation (North, South, East, West);

Observation RGB 32×32 rendering.

B.3 Hyperparameters, Tuning and Computational Resources

We tune the hyperparameters by random search allocating 50 samples to each method and each configuration run with 5 different seeds. Tables 4, 5, 6, and 7 show the details for the best results for all methods and domains. Each trial was run in a NVIDIA GeForce RTX3090 24GB. Each trial took 15min. All experiments used 150K transitions.

Table 4: Hyperparameters and coefficient weights for the ACF baseline across four domains.

Hyperparameter	DoorKey-Uniform	Taxi	Grid2D	FourRooms
<i>Training</i>				
batch_size	128	128	128	128
lr	4.0966×10^{-4}	2.9126×10^{-4}	2.27497×10^{-4}	3.6392×10^{-4}
epochs	100	200	200	200
<i>ACF Coefficients</i>				
λ_{fwd}	97.815	31.444	95.395	40.736
λ_r	25.623	5.018	48.560	16.963
λ_{inv}	22.094	1.000	1.000	97.365
λ_π	1.610	9.916	1.332	22.764

Table 5: Hyperparameters and coefficient weights for the GCL baseline across domains.

Hyperparameter	DoorKey(8x8)	Taxi	Grid2D	FourRooms
<i>Training</i>				
batch_size	128	128	128	128
lr	2.0363×10^{-4}	4.4530×10^{-4}	1.6031×10^{-4}	2.7661×10^{-5}
epochs	100	200	200	200
<i>GCL Coefficients</i>				
classifier_coeff	60.444	27.293	90.737	51.030
recons_coeff	9.26×10^{-8}	4.53×10^{-10}	0.193	3.50×10^{-4}

Table 6: Hyperparameters and coefficient weights for the DMS baseline across domains.

Hyperparameter / Coefficient	DoorKey(8×8)	Taxi	Grid2D	FourRooms
<i>Training</i>				
batch_size	128	128	128	128
lr	3.5332×10^{-4}	9.6832×10^{-5}	7.5007×10^{-5}	4.0218×10^{-4}
epochs	100	200	200	200
<i>DMS Coefficients</i>				
elbo_const	4.737	67.349	42.948	5.225
action_sparsity_const	3.536	36.881	91.982	9.878
state_sparsity_const	2.557	8.024	1.000	6.091
gumbel_temp	7.417	1.000	6.360	3.465
l2_reg_const	0.0015	0.0023	0.2805	0.0060

Table 7: Hyperparameters and coefficient weights for the Markov baseline across domains.

Hyperparameter / Coefficient	DoorKey(8×8)	Taxi	Grid2D	FourRooms
<i>Training</i>				
batch_size	128	128	128	128
lr	4.5536×10^{-4}	3.9622×10^{-4}	4.2654×10^{-4}	1.5854×10^{-4}
epochs	100	200	200	200
<i>Markov Coefficients</i>				
inverse_const	6.009	66.916	78.480	9.472
ratio_const	8.519	42.518	1.000	0.311
smoothness_const	2.092	8.234	84.905	9.691

B.4 Detailed Empirical Results

Detailed results for DoorKey (Figure 5), Minigrid-FourRooms (Figure 6), Taxi (Figure 7b), and Grid2D (Figure 8).

B.5 Planning Experiments

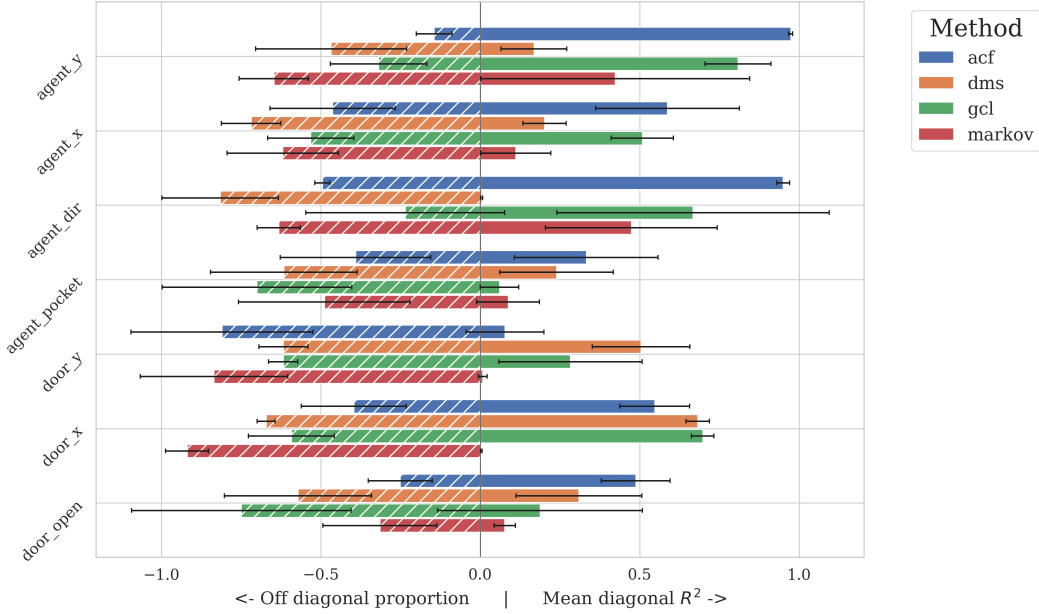
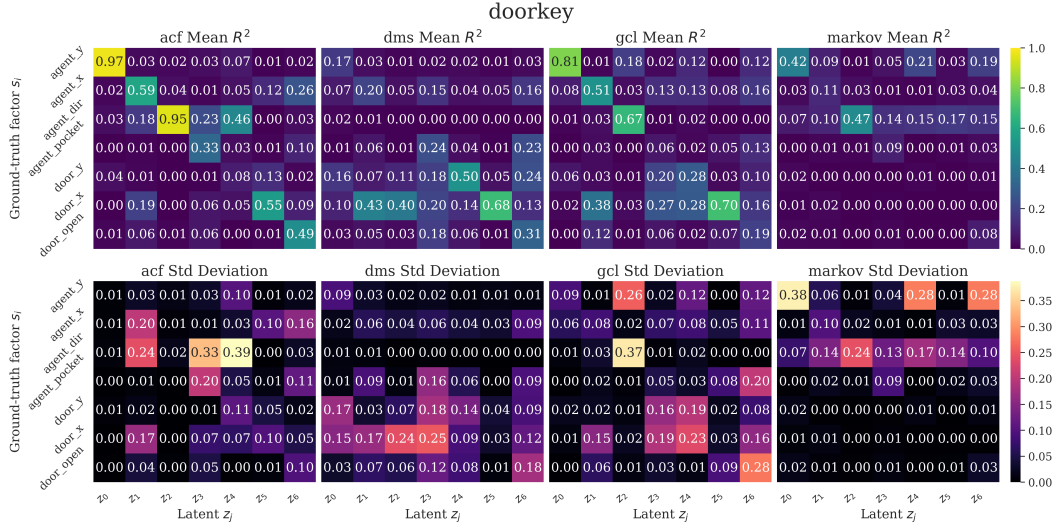
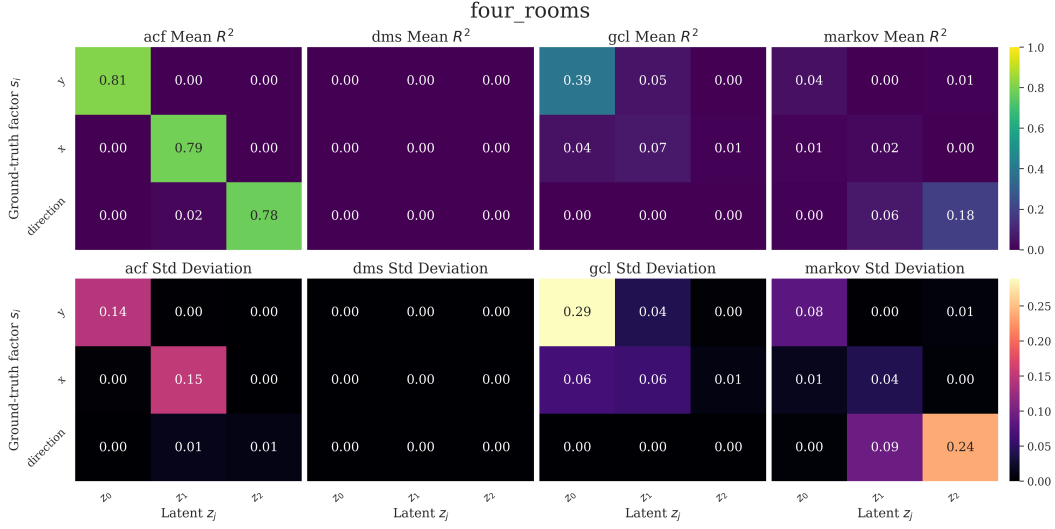
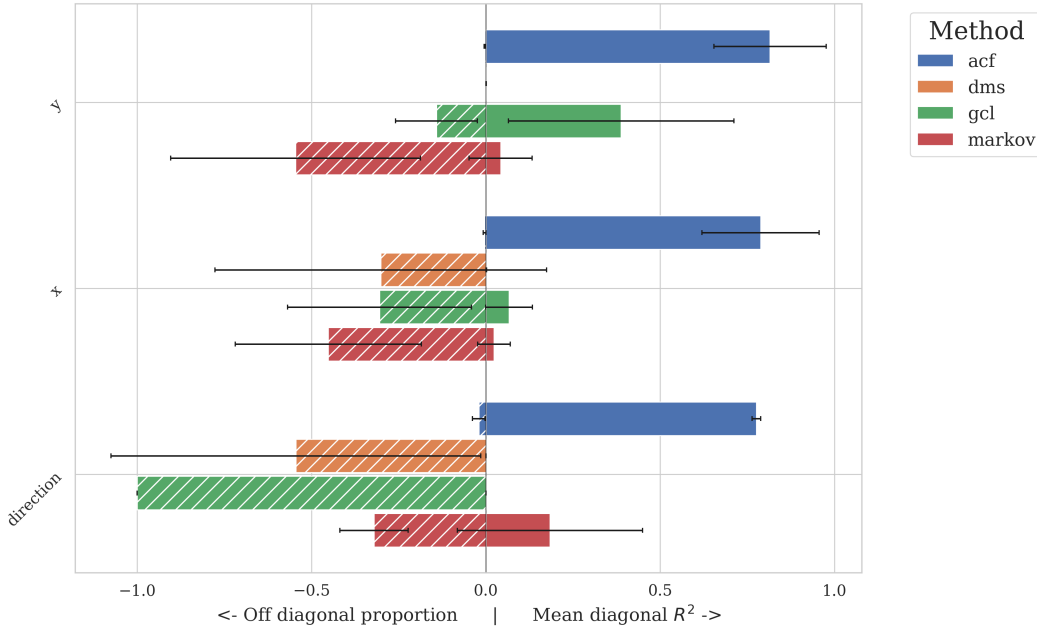

 Figure 5: **DoorKey** Factorization Results

 Table 8: **Modularity and Compactness:** A perfectly disentangled representation with respect to the ground truth would have modularity and compactness close to 1. We report the mean values over 5 seeds and 95% CI.

Method	DoorKey		FourRooms		Grid2D		Taxi	
	M	C	M	C	M	C	M	C
ACF	0.47 $\pm$ 0.04	0.48 $\pm$ 0.08	0.62 $\pm$ 0.05	0.64 $\pm$ 0.06	1.00 $\pm$ 0.00	1.00 $\pm$ 0.00	0.62 $\pm$ 0.05	0.49 $\pm$ 0.08
DMS	0.25 $\pm$ 0.05	0.27 $\pm$ 0.03	0.28 $\pm$ 0.07	0.68 $\pm$ 0.08	0.19 $\pm$ 0.08	0.20 $\pm$ 0.06	0.31 $\pm$ 0.04	0.24 $\pm$ 0.04
GCL	0.27 $\pm$ 0.08	0.29 $\pm$ 0.07	0.20 $\pm$ 0.05	0.24 $\pm$ 0.12	0.99 $\pm$ 0.01	0.91 $\pm$ 0.16	0.56 $\pm$ 0.08	0.43 $\pm$ 0.06
Markov	0.16 $\pm$ 0.05	0.22 $\pm$ 0.06	0.12 $\pm$ 0.04	0.33 $\pm$ 0.06	0.30 $\pm$ 0.14	0.73 $\pm$ 0.12	0.38 $\pm$ 0.03	0.33 $\pm$ 0.02



(a) R^2 matrices for Minigrid-FourRooms for 5 seeds: [Top] Mean R^2 matrices. [Bottom] Standard Deviation

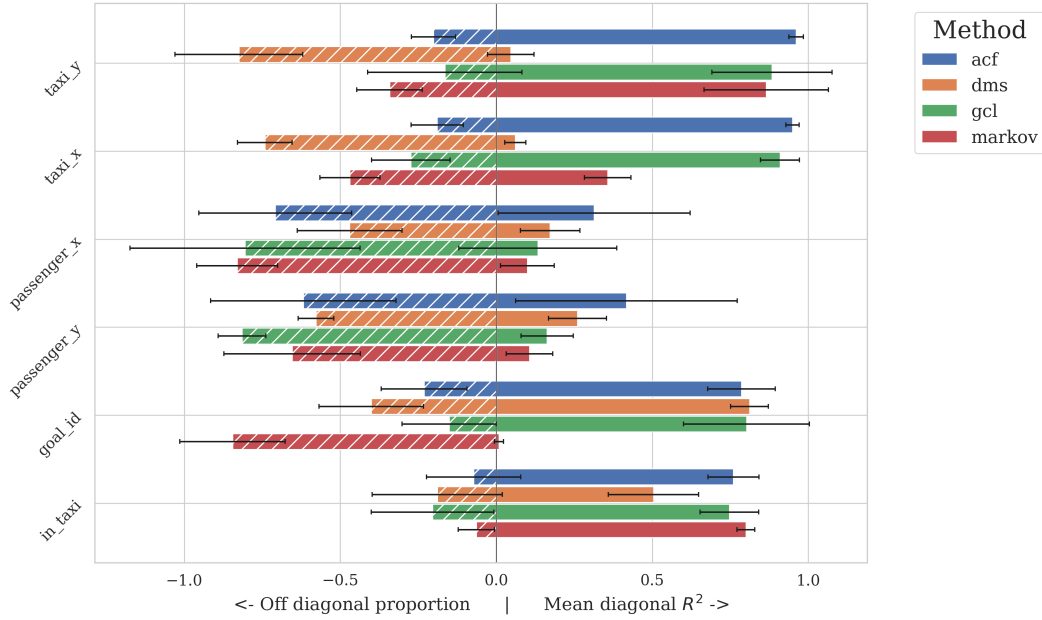
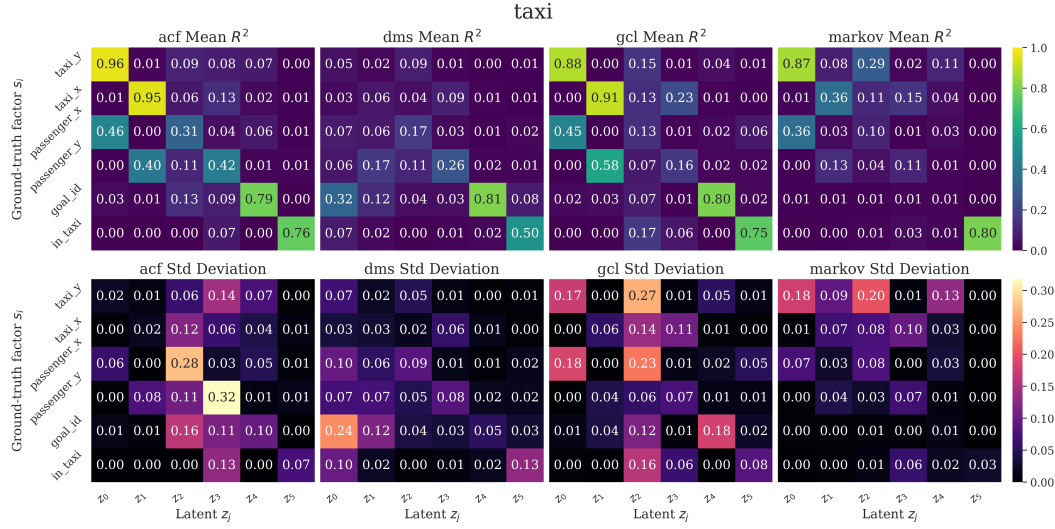


(b) Off diagonal proportion vs. Mean diagonal value per state

Figure 6: Minigrid-FourRooms Factorization Results

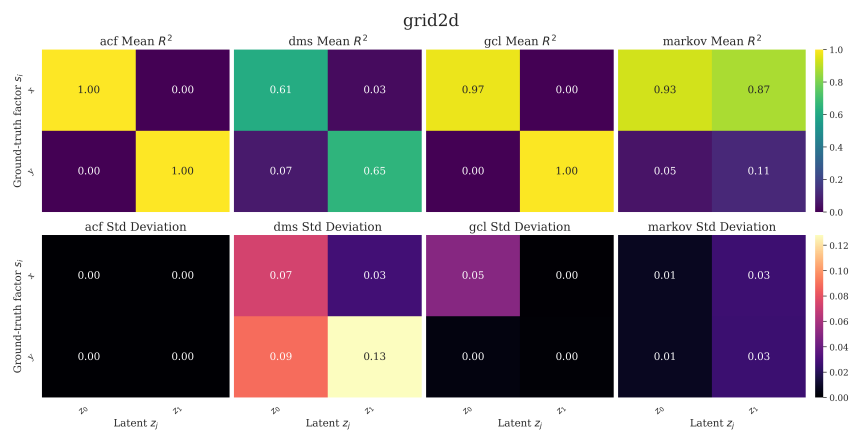
Table 9: **Raw Factorization Metrics:** ACF mean diagonal value is closer to 1—the ideal factorization—than that of all three methods across all four domains. A mean diagonal value of 1 and a maximum off-diagonal value (mean $\pm$ 95% CI across 5 seeds) of 0 indicates perfect factorization. While MaxOffDiag values are nonzero, these are not solely due to entanglement; they also include natural correlations of the data.

Method	DoorKey		FourRooms		Grid2D		Taxi	
	MeanDiag $\uparrow$	MaxOffDiag $\downarrow$	MeanDiag $\uparrow$	MaxOffDiag $\downarrow$	MeanDiag $\uparrow$	MaxOffDiag $\downarrow$	MeanDiag $\uparrow$	MaxOffDiag $\downarrow$
ACF	0.55 $\pm$ 0.07	0.65 $\pm$ 0.17	0.72 $\pm$ 0.20	0.02 $\pm$ 0.02	1.00 $\pm$ 0.00	0.00 $\pm$ 0.00	0.70 $\pm$ 0.11	0.46 $\pm$ 0.08
DMS	0.28 $\pm$ 0.03	0.49 $\pm$ 0.10	0.00 $\pm$ 0.00	0.00 $\pm$ 0.00	0.65 $\pm$ 0.13	0.07 $\pm$ 0.10	0.28 $\pm$ 0.07	0.33 $\pm$ 0.06
GCL	0.45 $\pm$ 0.09	0.52 $\pm$ 0.21	0.14 $\pm$ 0.11	0.09 $\pm$ 0.09	0.94 $\pm$ 0.15	0.00 $\pm$ 0.00	0.60 $\pm$ 0.08	0.60 $\pm$ 0.06
Markov	0.16 $\pm$ 0.14	0.39 $\pm$ 0.42	0.07 $\pm$ 0.11	0.05 $\pm$ 0.07	0.53 $\pm$ 0.02	0.88 $\pm$ 0.04	0.38 $\pm$ 0.07	0.39 $\pm$ 0.12

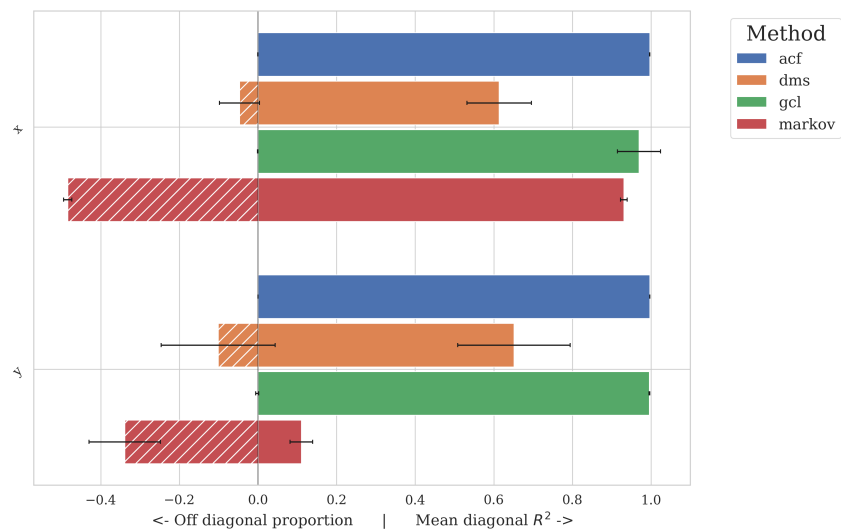


(b) Off diagonal proportion vs. Mean diagonal value per state

 Figure 7: **Taxi** Factorization Results



(a) R^2 matrices for **Grid2D** for 5 seeds: [Top] Mean R^2 matrices. [Bottom] Standard Deviation



(b) Off diagonal proportion vs. Mean diagonal value per state

Figure 8: **Grid2D** Factorization Results

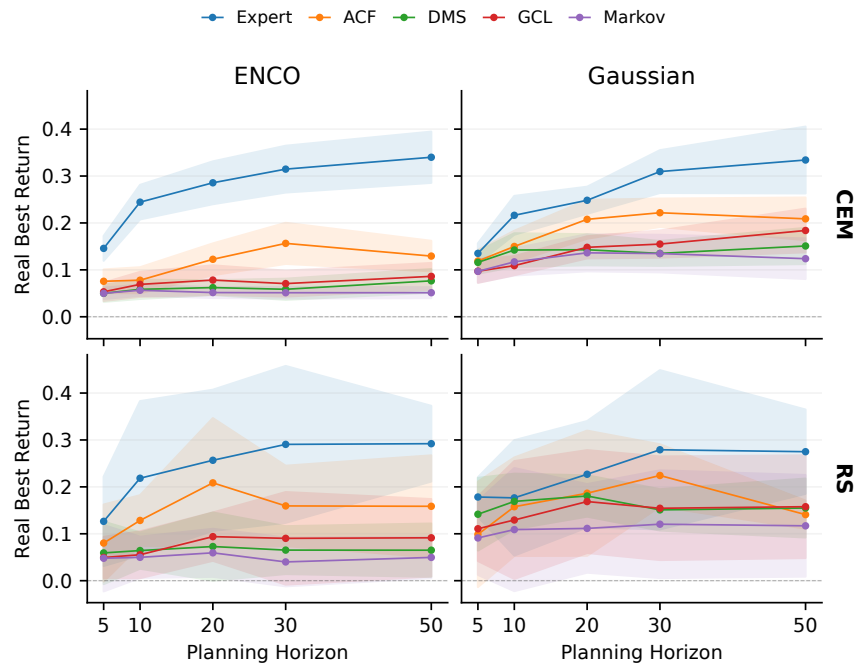


Figure 9: Planning performance vs. planning horizon. The expert representation show that a perfect factorized representation induces better models. ACF being closer to this expert representation perform better than the baselines. The curves are obtained over 20 episodes (mean and 95%CI)